

12/18/01
j1057 U.S. PTO

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A

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. CBL-104/DIV Total Pages

First Named Inventor
GLENN S. SOLOMON ET AL.Title
DUAL PROCESS SEMICONDUCTOR
HETEROSTRUCTURES & METHODS12/18/01
10/023910
PC872 U.S.P.T.O.

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input checked="" type="checkbox"/> Fee Transmittal Form		9. <input type="checkbox"/> Assignment cover sheet and document(s)	
2. <input type="checkbox"/> Applicant Claims Small Entity Status		10. <input type="checkbox"/> Power of Attorney by Assignee [] with CFR 3.73(b) statement	
3. <input checked="" type="checkbox"/> Specification Total Pages: [21]		11. <input type="checkbox"/> English Translation Document	
4. <input checked="" type="checkbox"/> Drawing(s) Total Sheets: [5]		12. <input checked="" type="checkbox"/> IDS/PTO-1449 [] with copies of cited references	
5. <input checked="" type="checkbox"/> Oath or Declaration Total Pages: [1]		13. <input checked="" type="checkbox"/> Preliminary Amendment	
a. <input type="checkbox"/> Newly executed (original or copy)		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
b. <input checked="" type="checkbox"/> Copy from a prior application 1.63(d) (complete Box 17 and note Box 5 below)		15. <input type="checkbox"/> Small Entity Statement [] Statement filed in prior application Status still proper and desired	
i. <input type="checkbox"/> Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b)		16. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
6. <input checked="" type="checkbox"/> Incorporation by Reference (if 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference.		17. <input type="checkbox"/> Request and Certification under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent	
7. <input type="checkbox"/> Microfiche Computer Program (Appendix)		18. <input checked="" type="checkbox"/> Other: Copies of Assignment documents and Powers of Attorney by Assignees from parent application 09/293,620.	
8. <input type="checkbox"/> Nucleotide/Amino Acid Sequence Submission (all the following are necessary)			
a. <input type="checkbox"/> Computer Readable Copy			
b. <input type="checkbox"/> Paper Copy (identical to computer copy)			
c. <input type="checkbox"/> Statement verifying identity of above copies			
19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> continuation <input checked="" type="checkbox"/> divisional <input type="checkbox"/> CIP ...of prior application No.: [09/293,620]			

20. CORRESPONDENCE ADDRESS						
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FEE TRANSMITTAL

Application Number:	Not yet assigned
Filing Date:	Not yet assigned
First Named Inventor:	Glenn S. S. Iman et al.
Title of Invention:	DUAL PROCESS SEMICONDUCTOR HETEROSTRUCTURES & METHODS
Group Art Unit:	Not assigned
Examiner:	Not assigned
Agent's Docket No.:	CBL-104/DIV

Fee Calculation:		
for <input checked="" type="checkbox"/> Large Entity / <input type="checkbox"/> Small Entity.		
Basic Billing Fee:		
<input checked="" type="checkbox"/> Utility Patent Application:	\$740 / \$370	\$ 740
<input type="checkbox"/> Provisional Patent Application:	\$150 / \$75	\$
Claims:		
<input type="checkbox"/> Number of Total Claims Over 20: []	x \$18 / \$9 =	\$
<input type="checkbox"/> No. of Independent Claims Over 3: []	x \$80 / \$40 =	\$
Other Fees:		
<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
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<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
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Signature of Agent		
<u>Joshua D. Isenberg</u>	12/18/2001	
Joshua D. Isenberg, Reg. No. 41,088		
Date		